



Executive Summary

The **Open Library of Humanities (OLH)** is a gold open access, peer-reviewed, internationally-supported, academic-led, not-for-profit, mega-journal, multi-journal and books platform for the humanities. It is funded by an international library consortium and so has **no author-facing charges**.

Open access refers to peer-reviewed academic research that is available freely to read and re-use online. Gold open access means that this service is provided by publishers. This usually means that a new business model is needed as if the material is free to read, it cannot be sold as a subscription. Many publishers are implementing this through article and book processing charges (APCs and BPCs). These, though, are unaffordable in humanities disciplines.

The OLH works differently with a small contribution from a large number of libraries covering the costs of publication; a cost pool. The OLH thereby offers an extremely cost-effective solution for open access that means that no single institution bears a disproportionate cost. Participating libraries not only invest in a **community shared service** that would not otherwise be feasible but are also given a **governance stake** in the project.

The OLH has been internationally recognised as an important development in open access for the humanities and for its innovative business model. The platform has initial funding from the **Andrew W. Mellon Foundation**. **David Armitage**, the Lloyd C. Blankfein Professor of History at **Harvard** writes that “there is hardly a more important project in train for scholarship in the humanities today”. **Kathleen Fitzpatrick**, the Head of Scholarly Communications at the **MLA**, notes the “crucial changes in the debate surrounding open access” that the project has already triggered.

There are three components in the platform. The **OLH Megajournal** is a new, trans-humanities journal, focusing on rigorous peer review and fast publication. Additionally, **OLH Journals** are existing publications that can join the model subject to approval by the academic and library boards. When journals with other subscription-based or fee-based publishers opt to leave their current providers and join OLH, libraries will see direct cancellations and a transition to OA. This will eventually provide demonstrable and real savings to library budgets. Finally, should we achieve the requisite levels of support and if participating libraries wish, we have a preliminary agreement to publish a series of **open-access books** in partnership with **Cambridge University Press, Harvard University Press, Open Book Publishers and Oxford University Press**.

The OLH is working with **LYRASIS** as the exclusive billing partner for the United States of America. LYRASIS has extensive experience in providing membership solutions for innovative open-access platforms. There are three levels of contribution to the platform. For institutions with 10,000 FTE+ the annual fee is **\$1,600**. For institutions with 5,000-9,999 FTE the fee is **\$900**. For smaller institutions the fee is **\$534**.

About the Open Library of Humanities

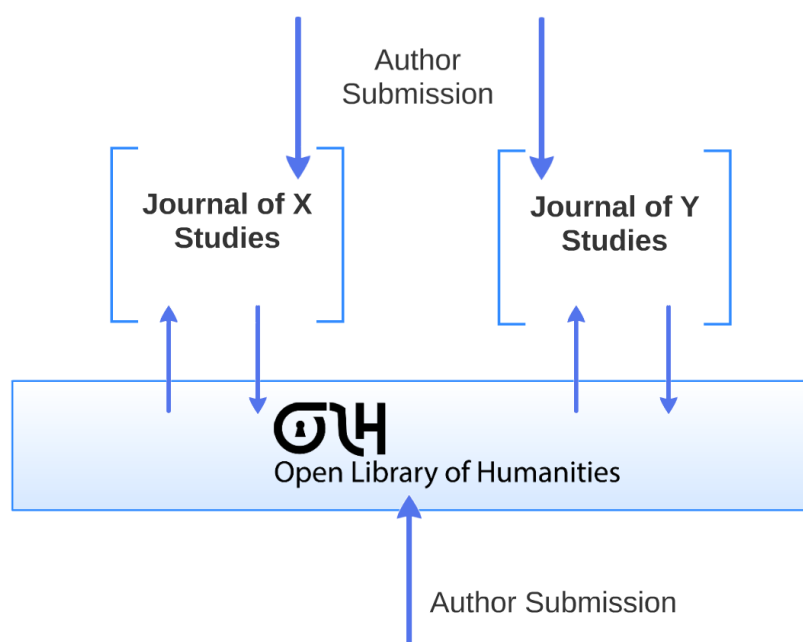
Background

In recent years, open access has come to dominate the scholarly communications landscape. The promise of freely readable and reusable research material finally seems possible in the digital environment. However, the proposition has been met with staunch opposition in the humanities disciplines, often on financial grounds. With an Article Processing Charge model for open access, it is feared that the different funding climate in these disciplines will lead to the inability of many scholars to pay.

First proposed in early 2013, the Open Library of Humanities aims to address these problems and to provide equitable open access for the humanities. With initial funding and support from the Andrew W. Mellon Foundation, the not-for-profit platform has an international support base and an innovative economic model that means there are **no author-facing charges** (and never will be) for our gold open access.

Components

The OLH has three components: a new megajournal; a service infrastructure for existing journals to migrate to the platform; and a book-publishing partnership.



The **OLH Megajournal** is a peer-reviewed (double-blind), quality-orientated, interdisciplinary journal that spans the humanities disciplines. The editorial process is overseen centrally, with expert “section editors” handling submissions as they arrive.

In addition, **OLH Journals** are existing journals that have opted to join the OLH. These journals maintain full brand autonomy and independence of review practice. We provide these journals with

a platform for hosting content; a platform for accepting submissions and coordinating review; XML, PDF and HTML typesetting of articles; a dedicated editorial support manager; ongoing technical support and platform maintenance; digital preservation in the CLOCKSS archive; CrossRef DOIs for every article; membership of the Committee on Publication Ethics; and gold open access with no author charges. Admission to the platform is via a rigorous selection process involving our academic and library committees. Forthcoming programs are to be announced in late 2015 to bring society journals on board, which will result in real cancellations for libraries and, therefore, a viable move towards open access.

Finally, should we solicit enough financial support from libraries (and should those participating libraries wish to pursue this) we have a preliminary agreement to publish a series of **open-access books** in partnership with **Cambridge University Press, Harvard University Press, Open Book Publishers** and **Oxford University Press**.

Disciplinary Range

The OLH takes a broad, inclusive understanding of the academic humanities, from classics, religious studies & theology, modern languages and literatures through to political philosophy, musicology, critical legal studies, anthropology and newer subject areas such as critical theory and cultural studies, film, media and TV studies.

Project Directors

The platform is coordinated and managed by Dr. Martin Paul Eve (author of *Open Access and the Humanities: Contexts, Controversies and the Future*, Cambridge University Press 2014) and Dr. Caroline Edwards.

Academic Steering Committee

Extensive consultation has taken place with high-profile academics and librarians from our committees, including, but not limited to:

David Armitage (Harvard)	Robert Kiley (Wellcome Library)
Marguerite Avery (MIT Press)	Vicky Lebeau (Sussex)
Robert Eaglestone (Royal Holloway)	Martin McQuillan (Kingston)
Michael Eisen (PLOS)	Nora McGregor (The British Library)
Steven Engler (Mount Royal University, Calgary)	Bethany Nowviskie (University of Virginia)
Kathleen Fitzpatrick (MLA),	David Palumbo-Liu (Stanford)
David Gauntlett (Westminster),	Oya Y. Rieger (Cornell; arXiv)
Catherine Grant (Sussex)	Ben Showers (Jisc)
Eve Gray (University of Cape Town)	Peter Suber (Harvard OA Project)
Cable Green (Creative Commons)	Melissa Terras (UCL Centre for Digital Humanities)
Gary Hall (Coventry; Open Humanities Press)	Sanford G. Thatcher (ex-Penn State UP)
Robert Judd (American Musicological Society)	Patricia Waugh (Durham)
	Peter Webster (the British Library)

John Willinsky (Stanford)

Jane Winters (IHR)

James Willson (Sussex)

Testimonials

“The Open Library of the Humanities promises crucial changes in the debate surrounding open access publishing, by bringing together a robust, standards- based technical platform with rigorous scholarly oversight, innovative editorial practices, and an emphasis on community outreach.”

– Kathleen Fitzpatrick
Head of Scholarly Communications, MLA

“The Open Library of the Humanities is a transformative venture on the leading edge of open-access initiatives on both sides of the Atlantic. There is hardly a more important project in train for scholarship in the humanities today.”

– David Armitage
Lloyd C. Blankfein Professor of History
Harvard University

The Open Library of Humanities has featured in many prominent publications, worldwide, including *The Chronicle of Higher Education*, *The Times Higher Education*, the *Guardian*, *Library Journal*, the *LSE Impact Blog*, *Research Fortnight*, *The Atlantic* and many others.

Technology and Standards

The Open Library of Humanities is built upon a robust standardised platform that integrates with all major library cataloguing and discoverability systems. The submission platform, developed by Ubiquity Press, is based upon PKP's Open Journal Systems, but has been extensively modified to accommodate the editorial flow that is needed in a highly-distributed, large-scale system. The source code for all of our modifications will be released under an open license.

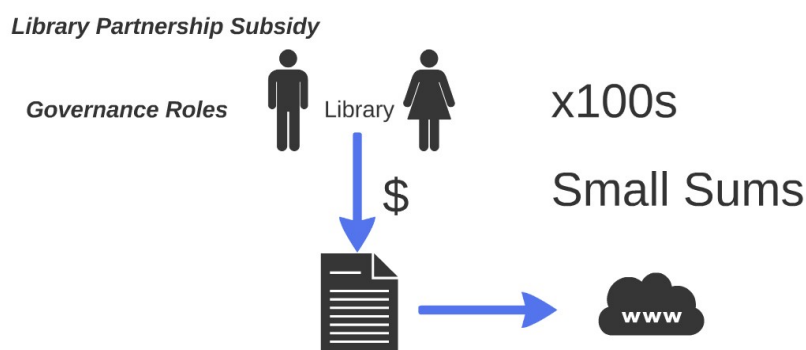
All OLH journals expose OAI harvestable metadata in NLM, MARC, MARC21, RFC1807 and DC formats. This includes title, author and content type. All journals implement full export to bibliographic management tools (Zotero translator etc.).

Furthermore, all OLH journals are intended to be fully accessible. This includes the use of dyslexia-friendly fonts and compliant markup to aid screen readers.

All OLH articles are assigned CrossRef DOIs, with archiving and preservation provided through CLOCKSS. Federated searching is anticipated to be implemented by deposit of article-level metadata in the Directory of Open Access Journals.

Membership, Benefits and LYRASIS

The OLH is a not-for-profit UK company limited by guarantee and is funded through a system called “Library Partnership Subsidies”. We have no shareholders and all revenue goes directly to open-access publishing.



In this mode, rather than institutions bearing the whole cost when their researchers need to publish (as with open access through “article processing charges”), this model instead spreads costs across institutions; much like the advantageous aspects of the subscription model. Except, in this case, the results are made openly accessible.

The model is extremely cost effective, with a base cost of approximately \$500 per article. This covers ongoing technological costs, staff costs, digital preservation, typesetting and other costs. When this is spread across institutions, with just 125 institutions participating at the higher level of banding (\$1,000) we will be able to publish 250 articles per year. This would be a **cost to each institution of just \$4 per open-access article**. This economy of scale improves as more institutions join.

Furthermore, because existing journals can migrate on to the OLH platform, institutions have a route to a transition for open access. This means that as journals that were previously with commercial publishers move across, participating libraries should see direct cancellations, allowing a transfer of budgets into funding open access.

Institutional Banding and Pricing

In conjunction with LYRASIS and in order to allow institutions of all sizes to participate in the Library Partnership Subsidy model, we have banded prices according to size of institution.

FTE	Annual Price
10,000+	\$1,600
5,000-9,999	\$900
0-5,000	\$534

Participating libraries/institutions may opt to pay a multi-year sum in advance if they so wish. Likewise, if institutions wish to support the platform by paying more than the amount listed, as some have already indicated, this is welcome and possible.

How to Join

Prospective members can sign up at our secure LYRASIS-OLH partnership website at: <http://lyrasis.openlibhums.org/>.

Institutional Benefits

The primary institutional benefit is to reduce the strain on library budgets through a transition to open access. By supporting this transition in ways that work for humanities disciplines, institutions should see long-term benefits.

After the initial launch period, institutions and individuals who participate in the OLH Library Partnership Subsidy (LPS) are entitled to membership of the OLH Library Board.

The OLH Library Board (LB), in conjunction with the Academic Steering and Advisory Committee (ASAC) and the OLH Directors, determine the right of admission for new journals (“overlay journals”) to the OLH platform. This, in turn, means that these three entities collectively determine the year-on-year budget. The boards, except in exceptional circumstances, discuss these matters and vote through online channels.

Proposals for new journal admission and/or budget increases to scale megajournal operation will be brought before the boards by the Directors on a quarterly basis. The Directors, along with any other member of the LB, may give their opinions on the suitability of admission. These remain merely opinions. Voting periods will last for a minimum of 14 days in each case. Every member of the LB, every member of the ASAC and every director will have one vote (in the case of institutions, this is one vote per membership subscription paid). Proposals will be carried if 60% of votes are in favour of the proposal under discussion. There is no level of required quorum.

An institution's seat on the OLH Library Board is tenable for the period of its paid membership. OLH Library Board members are entitled to a yearly report detailing the outputs of the project and the ways in which library funds are being spent solely to further the enterprise of open-access publishing.

Participating libraries are also entitled to a listing on the OLH website. It is clear that publishing is not a cost-free enterprise but often researchers/scholars are unaware that the library budget supports their activities. This prominent brand placement is designed to change this.

LYRASIS

LYRASIS is the exclusive membership billing partner for the Open Library of Humanities in North America.

As an organisation, LYRASIS partners with member libraries, archives and museums and other cultural heritage organizations to create, access and manage information with an emphasis on digital content, while building and sustaining collaboration, enhancing operations and technology, and increasing buying power. They collaborate with members to enrich, expand, acquire, digitize, host, support, manage and share their important, and often unique, collections.

A non-profit membership organization, LYRASIS was established in April 2009 with a collective

history of legacy networks that dates back to 1936. LYRASIS continues its mission of supporting information professionals by offering creative solutions and increased savings opportunities.

With a diverse membership and large in scale, LYRASIS works closely with library and cultural heritage organization professionals and their staff to build and maintain valued relationships, making frequent connections with site visits, conferences and e-communications.