Open Access Community Investment Program
Investment Criteria

Criteria for Open Access\(^1\) Investments

Pilot participants will be asked to summarize their request for investment in an “elevator pitch,” and provide responses to or describe how they satisfy the following criteria:

“Elevator Pitch”:

- Please provide no more than 300 words summarizing how much money you are seeking, for what period of time, the levels/tiers of potential investment, and why you are recommending that stakeholders invest in your journal, resource, or project.

Resource Overview

1. Description and mission of resource
   - Mission information should also be provided society/publisher level
   - Indicate whether mission supports scholarly researchers and/or the public interest

2. Publishing history

3. Peer review process

4. Snapshot of resource and society/publisher finances and governance
   - Information provided both at journal and society/publisher level
   - Indicate any non-profit status

5. Current business model of resource
   - If subscription, indicate distribution/sales volume

6. Summary of pilot funding request
   - Include total dollar value sought per year, term for which sought, and minimum investment accepted

7. Reasons for seeking funding for transition to OA or continued support for OA publication

\(^1\) Open Access (OA) to scholarship means making peer-reviewed literature available online without any financial, legal, or technical barriers other than gaining access to the Internet, itself.
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Impact

8. Disciplinary impact
   a. Point if possible to an annual report with stats showing impact; data from other institutions who have adopted it

9. Diversity, equity, and inclusion impact
   a. Inclusion of diverse voices; promotion of publishing opportunities for underrepresented scholars, societies, or groups

10. Indicators of faculty impact or student success
   a. Include usage statistics

11. Indication of improved access to unique content or services not otherwise available

Organization & Governance

12. Whether investment carries governance input
   a. Statement on editorial independence or whether investors have a say in governance or article selection/journal direction

13. Commitment to advancing social justice and diversity
   a. Include if applicable: Resource respects cultural heritage through advocacy of data sovereignty or use of TK Labels; resource has commitment to diversity in mission statement; commitment to diversity in the board or development of journal, etc.

14. Author rights retention & licensing

15. Compliance with relevant technical standards
   a. Include if relevant: LOCKSS preservation or some other form of industry-standard preservation, FAIR data, meets interoperability standards (discovery layer, OAI-PMH), meets user experience standards (ADA accessibility), reference to metadata and discovery standards

16. Commitment to innovation and research & development

17. Demonstration of operational and financial stability, or a plan for such