

Criteria for Open Access¹ Investments

Pilot participants will be asked to summarize their request for investment in an “elevator pitch,” and provide responses to or describe how they satisfy the following criteria:

“Elevator Pitch”:

- Please provide no more than 300 words summarizing how much money you are seeking, for what period of time, the levels/tiers of potential investment, and why you are recommending that stakeholders invest in your journal, resource, or project.

Resource Overview

1. Description and mission of resource
 - a. Mission information should also be provided society/publisher level
 - b. Indicate whether mission supports scholarly researchers and/or the public interest
2. Publishing history
3. Peer review process
4. Snapshot of resource and society/publisher finances and governance
 - a. Information provided both at journal and society/publisher level
 - b. Indicate any non-profit status
5. Current business model of resource
 - a. If subscription, indicate distribution/sales volume
6. Summary of pilot funding request
 - a. Include total dollar value sought per year, term for which sought, and minimum investment accepted
7. Reasons for seeking funding for transition to OA or continued support for OA publication

¹ [Open Access](#) (OA) to scholarship means making peer-reviewed literature available online without any financial, legal, or technical barriers other than gaining access to the Internet, itself.

Impact

8. Disciplinary impact
 - a. Point if possible to an annual report with stats showing impact; data from other institutions who have adopted it
9. Diversity, equity, and inclusion impact
 - a. Inclusion of diverse voices; promotion of publishing opportunities for underrepresented scholars, societies, or groups
10. Indicators of faculty impact or student success
 - a. Include usage statistics
11. Indication of improved access to unique content or services not otherwise available

Organization & Governance

12. Whether investment carries governance input
 - a. Statement on editorial independence or whether investors have a say in governance or article selection/journal direction
13. Commitment to advancing social justice and diversity
 - a. Include if applicable: Resource respects cultural heritage through advocacy of data sovereignty or use of TK Labels; resource has commitment to diversity in mission statement; commitment to diversity in the board or development of journal, etc.
14. Author rights retention & licensing
15. Compliance with relevant technical standards
 - a. Include if relevant: LOCKSS preservation or some other form of industry-standard preservation, FAIR data, meets interoperability standards (discovery layer, OAI-PMH), meets user experience standards (ADA accessibility), reference to metadata and discovery standards
16. Commitment to innovation and research & development
17. Demonstration of operational and financial stability, or a plan for such